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APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER

10/085,130

03/01/2002

Emil J. Hatfalvi

741946-45

22204
NIXON PEABODY, LLP
8180 GREENSBORO DRIVE MAY 2 0 2002
SUITE 800
MCLEAN, VA 22102

CONFIRMATION NO. 4090 FORMALITIES LETTER

OC0000000007665468

Date Mailed: 03/19/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$420.
 - \$420 for 5 independent claims over 3.
- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1290.

05/21/2002 NMOHAMM1 00000144 10085130

01 FC:201 370.00 OP 02 FC:202 210.00 OP 03 FC:205 65.00 OP

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



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MA

Please type a plus sign (+) inside this box \rightarrow [+]

PTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/085,130 **TRANSMITTAL** Filing Date March 1, 2002 **FORM** First Named Inventor (to be used for all correspondence after initial filing) Emil Hatfalvi et al. Group Art Unit Not yet known **Examiner Name** Not yet known Total Number of Pages in This Submission Attorney Docket Number 741946-45 ENCLOSURES (check.all that apply) After Allowance Communication to Group Fee Transmittal Form Assignment Papers (for an Application) Other: Copy of Notice to File Missing Fee Attached Parts of Non-Provisional Application Drawing(s) Amendment / Reply Declaration and Power of Attorney After Final Licensing-related Papers Petition Affidavits/declaration(s) Petition to Convert to a Provisional Extension of Time Request Application Express Abandonment Request Power of Attorney, Revocation Change of Correspondence Address Information Disclosure Statement ☐ Terminal Disclaimer Certified Copy of Priority Request for Refund Document(s) CD, Number of CD(s) Response to Missing Parts/ Remarks Incomplete Application The Commissioner is hereby authorized to charge any additional fees required or credit any overpayments to Deposit Account No. 19-2380 for the Response to Missing Parts above identified docket number. under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Jason H. Vick (Reg. No. 45,285) or Nixon Peabody LLP Individual name 8180 Greensboro Drive Suite 800 McLean, VA 22102 Signature May 20, Date CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

Signature

Type or printed name



MAY 2 0 2002

FEE TRANSMITTALEMAN **FOR FY 2002**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT \$645.00

Complete if Known						
Filing Date	March 1, 2002					
First Named Inventor	Emil J. Hatfalvi et al.					
Examiner Name	Not yet assigned					
Group Art Unit	2131					
Attorney Docket No.	741946-45					

Date

May 20, 2002

		FEE CALCULATION (continued)					
1. E The Commissioner is hereby authorized to charge indicated	3. A	3. ADDITIONAL FEES					
fees and credit any overpayments to:		Larg		Small			
Deposit		Entit Fee	ty Fee	Entity Fee	y		
Account 19-2380	Fee Code		Code		Fee Description	Fee Paid	
Number	105	130	205	65	Surcharge – late filing fee or oath	65.00	
Deposit		50	227		Surcharge – late provisional filing fee or cover sheet	05.00	
Account Name Nixon Peabody LLP		130	139	130	Non-English transaction		
		2,520	147		For filing a request for ex parte reexamination		
Charge Any Additional Fee Required		920*	112	•	Requesting publication of SIR prior to Examiner action		
Under 37 CFR 1.16 and 1.17		1,840*	113		Requesting publication of SIR after Examiner action		
Applicant claims small entity status.	113	110	215		Extension for reply within first month		
See 37 CFR 1.27	┛					<u> </u>	
2. 🗷 Payment Enclosed:	116	400	216		Extension for reply within second month		
Check Credit Card Money Other	117	920	217		Extension for reply within third month		
Order	118	1,440	218		Extension for reply within fourth month		
FEE CALCULATION		1,960	228		Extension for reply within fifth month		
1. BASIC FILING FEE	119 120	320 320	219 220		Notice of Appeal Filing a brief in support of an appeal		
Large Entity Small Entity Fee Fee Fee Fee Description							
Code (\$) Code (\$) Fee Paid	121	280	221		Request for oral hearing		
101 740 201 370 Utility filing fee 370.00	138	1,510	138	-	Petition to institute a public use proceeding		
106 330 206 165 Design filing fee	140	110	240	-	Petition to revive – unavoidable		
107 510 207 255 Plant filing fee	141	1,280	241		Petition to revive – unintentional		
108 740 208 370 Reissue filing fee	142	1,280	242		Utility issue fee (or reissue)		
114 160 214 80 Provisional filing fee	143	460	243		Design issue fee		
		620	244		Plant issue fee		
SUBTOTAL (1) \$370.00		130	122	130	Petitions to the Commissioner		
2. EXTRA CLAIM FEES	123	50	123	50	Processing fee under 37 CR 1.17(q)		
Fee from	126	180	126	180	Submission of Information Disclosure Stmt		
Extra Claims below Fee Pald Total Claims 20 -20** = X =	581	40	581	40	Recording each patent assignment per property (times number of properties)		
Independent 8 -3** = 5 X 42.00 = 210.00 Claims	146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))		
Multiple Dependent =		740	249	370	For each additional invention to be examined (37 CFR § 1.29(b))		
Large Entity Small Entity	179	740	279	370	Request for Continued Examination (RCE)		
Fee Fee Fee Fee Description Code (\$) Code (\$)	169	900	169	900	Request for expedited examination of a design application		
103 18 203 9 Claims in excess of 20	Othe	r fee (spe	ecify)				
102 84 202 42 Independent claims in excess of 3		· (- F	,,_				
104 280 204 140 Multiple dependent claim, if not paid	* Re	duced by	Basic F	Filing F	ee Paid SUBTOTAL (3) \$65.00		
109 84 209 42 ** Reissue independent claims over							
original patent	OPPOSITION TO OPPOSIT DATE						
110 18 210 9 ** Reissue claims in excess of 20 and over original patent		CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with					
SUBTOTAL (2) \$210.00		sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Washington, DC 20231, on					
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**or number previously paid, if greater; For Reissues, see above	Name	e:				<u> </u>	
SUBMITTED BY Complete (if applicable)							
Name (Print/Type) Jason H. Vick			n No.	45	703-770-9300 Telephone)	

(Attorney/Agent)

NVA226567.1

Signature